

SPECIAL OFFER: Early Bird Discount of 20% Before 31st August 2016



FORTE
MARKETS



MIFID II \ MIFIR Workshop

A Master Class on the revised Markets In Financial Instruments Directive

- **London:** 4th October & 11th October 2016
- **Dublin:** 18th October 2016
- **Belfast:** 8th November 2016
- **Edinburgh:** 15th November 2016

UNDERSTAND THE KEY CHALLENGES WHEN INTERPRETING AND IMPLEMENTING THE NEW RULES BEING INTRODUCED UNDER THE MARKETS IN FINANCIAL INSTRUMENTS DIRECTIVE II PACKAGE

The European banking industry has been anxiously awaiting confirmation from the European Commission of the MiFID II implementation deadline. With the original proposal released in October 2011, and finalised in 2014, the intended implementation date was January 2017. In February 2016, this was revised to January 2018, and financial institutions have begun to mobilise an immense industry-wide initiative to identify the key compliance challenges and change 'hot spots', in order to plan and resource their own change programmes.

Forte Markets has organised this workshop to help delegates to understand, with Financial Institutions now engaged in full-scale implementation planning for MiFID II and MiFIR, key questions and challenges being faced. Much of the finer detail of the rules will be contained in the Technical Standards from ESMA and Delegated Acts from the European Commission, which are still being finalised. The impact to infrastructure and technology is of serious concern to all in-scope firms. Critically, the broader scope of the revised MiFID package will affect a far wider range of firms and products, meaning for some these changes will not be incremental developments, but an entirely new regulatory regime.

The urgent priority for all Financial Institutions, irrespective of size or business model, is to ensure the impact is understood and assessed immediately, with agendas and budgets aligned to compliance by January 2018. This workshop is designed to enable participants across the Financial Industry, from Compliance, Audit, Front Office, Treasury, Change and IT fields, to come together to understand the contents of these regulations, how their peers are implementing, and what challenges they face.

OBJECTIVES

This workshop aims to raise awareness and understanding of the new rules introduced by MiFID II and MiFIR, and to highlight the key challenges that are being identified across the industry through the many ongoing delivery programmes

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PROGRAMME

08:30	REGISTRATION
09:00	<p>Introductions and background to ongoing global regulatory reforms</p> <ul style="list-style-type: none">• The history of financial regulation• Building blocks of the Financial Crisis• The global response & regulators reborn• Focus on Europe <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
09:30	<p>Governance, Supervision and Third Country provisions</p> <ul style="list-style-type: none">• Changes since MiFID I• Supervisory powers• Passporting & third country access <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
10:00	<p>Investor Protection</p> <ul style="list-style-type: none">• Categories of clients• Advice, suitability & appropriateness• Product governance• Conflicts of interest & inducements• Best execution <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
10:30	MORNING BREAK AND NETWORKING OPPORTUNITIES
10:45	<p>Market Infrastructure</p> <ul style="list-style-type: none">• Venues & the trading environment• Systematic Internalisers• Algorithmic trading, HFT & Direct Electronic Access• Clock synchronisation• Commodities, central clearing & STP <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
12:00	LUNCH

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PROGRAMME

13:00	<p>Reporting, Transparency & Record Keeping</p> <ul style="list-style-type: none">• Changes to transaction reporting• New data types• Transmission of orders• Pre- and post-trade transparency• Record keeping – investment firms and venues• Best Execution reporting <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
14:00	Discussion Forum: Key Technology Challenges
14:45	AFTERNOON BREAK AND NETWORKING OPPORTUNITIES
15:00	<p>Impact review</p> <ul style="list-style-type: none">• Review of key market structural changes• Review of key technological changes• Discussion of strategic challenges <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
15:30	<p>Reference data management</p> <ul style="list-style-type: none">• Reference data in financial institutions• Mapping data in the MiFID II world <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
16:15	<p>DISCUSSION FORUM ON DELIVERY TIMELINES & CHALLENGES</p> <ul style="list-style-type: none">• Internal development vs. external vendors• Programme governance, structures and accountability• Rule traceability and path to assurance• From final rules to final testing <p>Workshop Leader: Targ Patience: Financial Markets & Regulatory Consultant</p>
17:00	END OF WORKSHOP



Targ Patience: Financial Markets & Regulatory Consultant

Targ is an accomplished business change and regulatory consultant, who has helped financial institutions initiate and deliver major change programmes, improve business reporting and processes, and meet rapidly evolving regulatory standards. He has been involved in the front line of financial regulatory reform since 2010, and has advised investment firms and market infrastructures on Dodd-Frank, EMIR, Basel III and MiFID II, as well as the recently drafted European Financial Benchmarks Regulation and BIS Fundamental Review of the Trading Book. Targ's clients include LCH.Clearnet, Barclays Investment Bank and management consultancies such as KPMG, Crossbridge and Essential Consulting, with whom he has worked as an associate to provide expert regulatory advisory to a number of tier-1 clients.



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BOOKING FORM



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LOCATION:

LONDON:	4 TH OCTOBER	<input type="checkbox"/>
	11 TH OCTOBER	<input type="checkbox"/>
DUBLIN:	18 TH OCTOBER	<input type="checkbox"/>
BELFAST:	8 TH NOVEMBER	<input type="checkbox"/>
EDINBURGH:	15 TH NOVEMBER	<input type="checkbox"/>

FEE:

EARLY BIRD FEE:	£780.00 + VAT = £936.00
	[Before 31st August 2016]
FULL FEE:	£975.00 + VAT = £1,170.00
STUDENT FEE:	£487.50 + VAT = £585.00

[A valid ID card will be required upon booking]

DELEGATE DETAILS	
COMPANY:	
NAME:	
JOB TITLE/POSITION:	
NAME:	
JOB TITLE/POSITION:	
NAME:	
JOB TITLE/POSITION:	
DEPARTMENT:	
ADDRESS:	
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TELEPHONE:	
E-MAIL:	
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SIGNATURE:	

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Cancellation Policy

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If the notice of cancellation is received on or before 31 July 2016, no fee shall be charged (i.e. 100% refund made)

If the notice of cancellation is received between 1 August 2016 and 31 August 2016 inclusive, we will refund 70% of the fees (i.e. 30% cancellation charge);

If the notice of cancellation is received on or after 1 September 2016, no refund will be payable (i.e. 100% cancellation charge).



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